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PATENT
Attorney Docket No.: SI01-015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wolfgang Schweiker
Mathias Kampf
Gerhard Heise

Serial No: 10/031,900

Group Art Unit: TBA

Filed: 1/19/02

Examiner: TBA

For: OPTICAL COUPLING
DEVICE

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

ATTN: ~~Box Missing Parts~~
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated April 12, 2002, Applicant submits a Declaration for filing in the above-identified application, the required surcharge of \$130.00, and a copy of the Notice to File Missing Parts.

Please associate the enclosed Declaration with the above-identified application.

Authorization is given to charge the above-noted fees to Deposit Account No. 03-3325. If a fee is required for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our deposit account.

06/13/2002 GFREY1 00000100 033325 10031900

01 FC:154 130.00 CH

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents and Trademarks, Washington, DC 20231 on 30 May 2002

Date of Deposit

Walter M. Douglas
Walter M. Douglas Signature

Respectfully submitted,

Walter M. Douglas
Registration No.: 34,510
Phone: (607) 974-2431

Date: 30 May 2002



J004 Rec'd PGT/F 07 JUN 2002 PCT

FILING CHECKLIST

Case Title:

MDC Docket Number: S101-015

Response to:

- ☒ Notice to File Missing Parts
☐ Restriction Requirement
☐ Non-final Office Action
☐ Final Office Action
☐ Amendment After Final
☐ Notice of Appeal
☐ Appeal Brief
☐ Request for Reconsideration
☐ Amendment After Allowance
☐ IDS and form PTO-1449
☐ Other _____

| | Secretary | Attorney | Center |
|---|---|--|--|
| Are all papers to be filed enclosed and complete? | <input checked="" type="checkbox"/> Yes | <input checked="" type="checkbox"/> Agree | <input type="checkbox"/> Agree |
| List significant enclosures: Assignment + Coversheet; Declaration, Notice to File Missing Parts; Response to File missing parts. | | | |
| Is a Request for Time Extension included? If yes, does it request a proper extension and is the correct fee calculated? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> N/A <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> Agree <input type="checkbox"/> Agree | <input type="checkbox"/> Agree <input type="checkbox"/> Agree |
| Is a transmittal sheet signed and enclosed? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> N/A | <input checked="" type="checkbox"/> Agree | <input type="checkbox"/> Agree |
| Do the papers include a Certificate of Mailing or an Express Mail Certificate, as appropriate? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> N/A | <input checked="" type="checkbox"/> Agree | <input type="checkbox"/> Agree |
| Is a complete postcard submitted? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> N/A | <input checked="" type="checkbox"/> Agree | <input type="checkbox"/> Agree |
| Is payment of any fee (and deficiency) authorized? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> N/A | <input checked="" type="checkbox"/> Agree | <input type="checkbox"/> Agree |
| Is the envelope correctly addressed? Is a special Box used? | <input checked="" type="checkbox"/> Yes <input checked="" type="checkbox"/> Yes <input type="checkbox"/> N/A | <input checked="" type="checkbox"/> Agree <input checked="" type="checkbox"/> Agree | <input type="checkbox"/> Agree <input type="checkbox"/> Agree |
| Is the Certificate of Mailing or Express Mail Certificate signed? | | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> Agree |
| Has a photocopy of all signed correspondence and enclosures been properly attached in the file folder? | | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> Agree |
| Removed from docket? | | | <input type="checkbox"/> Yes <input type="checkbox"/> N/A |
| Docket additional actions: Action _____ | | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> N/A |

Reviewed by:

W M Doyle

Date:

30 May 2002

Time Delivered to Center:

3:40 AM

FOR CENTER USE ONLY

Reviewed by: _____

Date: _____

Docketed by: _____

Date: _____



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

| | | |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/031,900 | Wolfgang Schweiker | SI01-015 |

INTERNATIONAL APPLICATION NO.

PCT/DE00/02398

I.A. FILING DATE

07/21/2000

PRIORITY DATE

07/21/1999

Walter M Douglas
 Corning Incorporated
 SP-TI-03
 Corning, NY 14831

Patent Dept.

CONFIRMATION NO. 8234

371 FORMALITIES LETTER



OC00000007851826

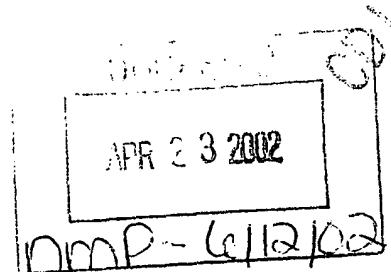
Date Mailed: 04/12/2002

NMP Due: 6/12/02

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Substitute Specification



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/031,900 | PCT/DE00/02398 | SI01-015 |